UCSB GRADUATE STUDENT CONFLICT OF INTEREST FORM

The Conflict of Interest (COI) form must be completed, signed and submitted with the Masters Form I, Doctoral Degree Form I, or at any time the agreements or arrangements set forth below arise. The Policy on Conflict of Interest and Graduate Education, at http://www.graddiv.ucsb.edu/academic/conflict-of-interest, should be reviewed for guidance before filling out this form. If a conflict of interest related to this policy is identified, the procedures outlined in the Policy on Conflict of Interest and Graduate Education must be followed.

If you have any questions, please call the Graduate Division Academic Services unit: 805-893-2277

The UCSB Policy on Conflict of Interest and Graduate Education deals with any conflict of interest as defined in Section 028 of the Academic Personnel Manual (APM), adopted April 26, 1984. The policy is mandated by Section 025 of the Academic Personnel Manual (http://www.ucop.edu/academic-personnel/index.html). This policy affirms joint student and faculty responsibilities as members of the University of California in relationship to potential conflicts of interest and provides mechanisms to ensure that outside activities are consistent with University policy.

Disclosures

The following agreements or arrangements should be disclosed to the Dean of the Graduate Division as soon as the student becomes aware of the facts giving rise to the disclosure obligation:

- i) Agreements or arrangements between a student and a private entity involving research **or other professional activities as required by the graduate degree program** by the student, where the University or a mentor/research/thesis/dissertation advisor is a party to the agreement or arrangement, <u>and</u> the student's mentor/research/thesis/dissertation advisor has a financial interest in the private entity: *And one or more of the following is true:*
- a) The research **or other professional activities as required by the graduate degree program** are related to the student's project/thesis/dissertation, or
- b) There are restrictions on the student's ability to publish, present, or otherwise disclose the findings from their research activities.

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Name:	Perm:	_ Degree:	
UMail:	Committee Type:	Master's Thesis	Doctoral
Does a faculty member have a financial interprivate entity with which the graduate stude			gement) in a Yes No
If yes, the student's academic interests may be at ris findings from their research or make progress with a sponsorship of the project or other requirements imp	requirements of their program may b		
The UCSB Graduate Student Conflict of Interest Polagreed upon.	licy requires that this conflict be disc	losed and that a man	agement plan be
If the above answer is "Yes" please respond	to the following:		
(1) State the name of the faculty member with a find (attach this description on a separate page)	ancial interest and describe the natur	re of the potential co	nflict.
(2) How does the Department propose to manage to Recommendation that the Graduate Dean wor thesis committee for the faculty member with	rk with the Department to find a subs	titute on the student'	s dissertation or
Limitation of the length or scope of student's	work with the private entity;		
Require that all student work to be conducted	on-campus;		
Appointment of an additional member to serv member is chosen by the Department Chair (of consultation with the graduate student and the academic specialty (specify name	or the Graduate Advisor if the Chair is eir dissertation advisor. The Oversigh	is the conflicted facu	lty member) in
Any other condition that the Subcommittee frimplemented (specify).	eels appropriate and reasonable to m	anage the conflict ma	ay also be
Our signatures certify that we have read the policy a may be harmful to the academic interests of the above		ny financial conflict	of interest that
Student - type or print name	Signature		Date
Thesis/Dissertation Committee Chair-type/print name	Signature		Date
Major Department Chair - type or print name	Signature		Date
GRADUATE DIVISION			
Conflict Disclosed, Reviewed and Approved:	uate Division Dean/Associate Dean		
No Conflict: Date			